

# Client Privacy Notice



## ➤ Our Commitment to You

Your trust is the cornerstone of our relationship, and the most important asset of our organization. We strive to maintain this trust and confidence, an important element of which is our commitment to protect your personal information, and to act responsibly and in your best interests. Because your personal and financial data is your private information, we hold ourselves to the highest standards in its safekeeping and use. This means that we never have and never will sell personal information about our clients to third party businesses for their marketing purposes. We will not disclose your personal information to anyone unless it is required or permitted by law, it is at your direction, or it is necessary to provide you with our services.

The following Privacy Notice explains how we collect, use and protect the personal information of our clients. We ask that you read it carefully. We may revise our privacy policy in the future. If you would like a current copy of our privacy policy, you may view it on our website, at [www.zhpcapital.com](http://www.zhpcapital.com) or by calling 1-847-400-6982. Additionally, we will provide you with our privacy policy annually.

## ➤ The Information We Collect About You

ZHP Capital collects and maintains certain personal information so that we can provide investment advisory services to you. This information includes:

- Personal information such as your address, taxpayer identification number, or phone number
- Financial information such as bank accounts, assets and income level
- Information we create to service your account, such as trade tickets and account statements
- Information we receive from others in servicing your accounts, such as trade confirms from brokerage firms or custodian statements

## ➤ How We Use Information about You

As highlighted above, we never sell the private information that we collect, or distribute such information, except in very limited circumstances and as permitted by law, such as:

- To brokers and/or custodians to complete transactions for your account
- To companies that help us service your account, such as our technology vendor in generating client statements
- To bring to your attention related financial products and services that we may offer

To fulfill our commitment to you, we have in place firm-wide practices to safeguard your personal information.

- We restrict access to your information to those employees who need it to provide our services to you
- We have physical, electronic and procedural safeguards in place to protect your information
- We protect the information of former or prospective clients to the same extent as our current clients